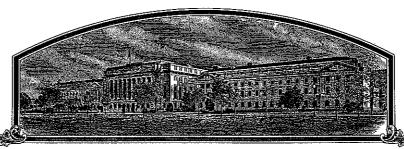
No.



## THIR UNIVERD STRAYES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME;

Progeny Advanced Genetics, Inc.

THECE HAS BEEN PRESENTED TO THE

## Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE SIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR ORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT DBY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

#### **LETTUCE**

'Avalanche'

In Testimony Morrest, I have hereunto set my hand and caused the seal of the Hunt Huristy Frotection Office to be affixed at the City of Washington, D.C. this sixteenth day of May, in the year two thousand and eight.

Aus

Ol-3

Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Secretary Ariculture

Solvand - Schafe

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY - PLANT VARIETY PROTECTION OFFICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

(Instructions and information collection burden		1997		· · · · · · · ·	
PROGENY ADVANCED GENETICS	S, INC.		2. TEMPORARY DESIGNA EXPERIMENTAL NAME PX334	TION OR	3. VARIETY NAME AVALANCHE
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP O.	ode, and Country)		5. TELEPHONE (include an	ea code)	EOROFFICIAL OSE ONLY
1536 B MOFFETT ST					PVPO NUMBER
SALINAS CA 93905-3342			831-751-6030	2	00500046
	•		5. FAX (Include area code)		
		X · ·	831-751-6032		
		· · · · · · · · · · · · · · · · · · ·			FILING DATE
7. IF THE OWNER NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.)  CORPORATION	a. IF INC STAT CAL	CORPORATED, GIVE E OF INCORPORATION I FORNIA	9. DATE OF INCORPORATION 12/26/94	- 10	DECEMBER 27, 20
10. NAME AND ADDRESS OF OWNER REPRESENTATIVE(S) TO S	SERVE IN THIS APPLICA	TION. (First person listed will re	ceive all papers)		EN SUC AND EVALUATION
DARRYN GIBSON		•			FILING AND EXAMINATION FEES:
MANAGER OF RESEARCH AND DI		•			\$3,652.00
PROGENY ADVANCED GENETICS	, INC			i	1
1536 B MOFFETT ST T			•		R DATE 12/27/04
SALINAS CALIFORNIA 93905					C CERTIFICATION FEE:
•		•			576800
· •	•			]	41177/08
11. TELEPHONE (Include area code) 12. FAX (Include area	a code)	13. E-MAIL			DATE 7 27 108
831-751-6030 831-751-	"	DMAN@IPROGEN	Y_COM	LETT	'KIND (Common Name)
15. GENUS AND SPECIES NAME OF CROP  LACTUCA SATIVA	,	16. FAMILY NAME (Botanica  COMPOSITAE	al)	17. IS THE HYBRI	EVARIETY A FIRST GENERATION D?
18 CHECK ADDOORDINTE DOV COD FIGURATTA AUTHOR DIVINITIES DIVINITIE					YES X NO
<ol> <li>CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMIT reverse)</li> </ol>	TED (Follow instructions	on 19. DOES THE O	WNER SPECIFY THAT SEED ( SEED? See Section 83(a) of	F THIS VAR	IETY BE SOLD AS A CLASS OF
a.		□ Y	'ES (If 'yes', answeritems 20 and 21 below)		NO (If "no", go to item 22)
b. Exhibit B. Statement of Distinctness c. Exhibit C. Objective Description of Variety	·····			·	
d. Exhibit D. Additional Description of the Variety (Optional	· 9	•	WINER SPECIFY THAT SEED O LIMITED AS TO NUMBER OF O		T YES NO
e. Exhibit E. Statement of the Basis of the Owner's Owners		IF YES, WHIC	H CLASSES?   FOUNDA	NOTTON _	REGISTERED CERTIFIED
f. Voucher Sample (2,500 viable untreated seeds or, for tule verification that tissue culture will be deposited and maint repository)	ber propagated varieties, tained in an approved put	ulic 21. COES THE OI VARIETY BE	WHER SPECIFY THAT SEED C	F THIS	YES NO
g- Ting and Examination Fee (\$2,705), made payable to "T States" (Mail to the Plant Variety Protection Office)	reasurer of the United	IF YES, SPEC			REGISTERED CERTIFIED
,,	2	NUMBER 1,2,	•		<b>i</b>
2. HAS THE VARIETY (INCLUDING ANY HARVESTED MATERIAL) O	AD A LIVERIO COON INC.		xplanation is necessary, please		
OTHER COUNTRIES?	ED, OR USED IN THE U.	S. OR PROPERTY R	TY OR ANY COMPONENT OF IGHT (PLANT BREEDER'S RIG	THE VARIET HT OR PATE	Y PROTECTED BY INTELLECTUAL ENT)?
YES DEC 31, 2003 II NO	U.S.A		ES .	•	NO
IF YES, YOU MUST PROVIDE THE DATE OF FIRST SALE, DISPO FOR EACH COUNTRY AND THE CIRCUMSTANCES. (Please use	OSITION, TRANSFER, OF	RUSE IF YES, PLEAS	E GIVE COUNTRY, DATE OF F IUMBER. (Please use space in	LING OR IS	CITANCE AND ADDICATED
4. The owners declare that a visible sample of bacic seed of the contact					
			AL WILLIAM		
The undersigned owner(s) is(are) the owner of this sexually reproduct and is entitled to protection under the provisions of Section 42 of the		<del></del>	the variety is new, distinct, unifi	orm, and stat	ale as required in Section 42.
Owner(s) is(are) informed that false representation herein can jeopar	rdize protection and resul	t in penalties.			
MURIAN HE		SIGNATURE OF O	WNER		
AME (Please print or type)	· · · · · · · · · · · · · · · · · · ·	NAME (Please print	or typel	<del></del>	
DARRYN GIBSON		ranno it ippac hitte	· \7\$607		
PACITY OR TITLE	DATE;	CAPACITY OR TITE		<del></del>	DATE
MANAGER OF RESEARCH AND DEVELOPMENT	12/22/04	Service On III	<del></del>		CATE



#### Exhibit A

## Details of the Development of the Variety Avalanche

Avalanche is a PIC type romaine lettuce variety developed from a hand pollinated cross of the commercial varieties Tall Guzmaine and Bautista. The initial cross was made in our San Joaquin Valley research and development seed production field in 1993. The F1 seed harvested was designated as # 93047. Tall Guzmaine, a medium sized heavily savoyed Florida type romaine was selected as a source of bolting resistance, corky root resistance and tipburn resistance. Bautista, was selected as it is characteristically slow maturing, has a smoother leaf surface, and good tip burn resistance. The cross was made, and through the pedigree selection breeding method, we developed a smooth textured, slow growing, corky root resistant, PIC type romaine adapted to the winter harvests in Yuma and the spring and summer harvests of the Salinas Valley growing regions.

Approximately 50 plants of the F1 seed were planted in a San Joaquin Valley research seed production field for seed increase in 1994. The F2 seed was harvested in bulk in August 1994, labeled 94047.

An F2 population including 94047 was planted in a research and development field trial in Yuma in late 1994. Individual F2 plants were selected at market maturity for distinctions in type, size, color, days to bolting and resistance to tip burn. The particular selection labeled 94366-53 was noted to have a smooth leaf texture, have an increased heart density, was slower bolting, and did not show any signs of tip burn. The selected plants were removed from the trial, and allowed to fully mature in our green house facility. The F3 seed from the selections were harvested in the early spring of 1995.

The F3 seed from the single plant was then planted in our research and development seed production crop in the San Joaquin Valley in June of 1995. The line, R&D # 95071 was rogued, and all heavier savoyed and early bolting plants were removed from the plot. The remaining plants were all smooth leaved and later maturing, and the F4 seed was harvested in bulk.



The F4 bulk line was included in research and development trials in the winter of 1995 and spring of 1996 demonstrating the desired smooth leaf and later maturity. Based on these evaluations we increased the seed in our 1996 San Joaquin Valley research and development seed production field. The F4 plants were selectively rogued for type and maturity and all heavier savoyed and earlier maturing plants were removed. At harvest it was noted that the line was segregating for seed color and the F5 seed was harvested in 2 bulks, separated by seed color. The white seeded F5 line was designated PX 334 in October 1996.

PX 334 was evaluated in multiple trials between 1997 and 1999 and noted to be a consistent performer that was a later maturing PIC type with excellent weight, a dense heart, and good tipburn resistance.

It was not until 2000 and 2001 that the emerging salad processing market began to demanded products with smoother, lighter colored PIC leaf types, heavier weights, and better tipburn resistance for their salad plants. Based on these criteria PX 334 was advanced to full bed trials.

Having corky root resistance on one side of the pedigree, markers were run on PX 334 to determine the presence of the *cor* gene, *cor* being a recessive gene responsible for corky root resistance. From the marker analysis conducted in 2001 it was determined that PX 334 was heterozygous for *cor*. Based on these results 25 individual seedlings were again screened for the recessive core gene and 4 seedlings were determined to be homozygous recessive for the *cor* gene. These 4 resistant F5 plants were allowed to reach full maturity and the F6 corky root resistant seed was harvested in bulk in the early winter of 2002.

The F6 seed was then increased in a research and development seed production field in the summer of 2002 and selectively rogued for the smoother leaf type and later maturity. PX 334 was noted to be uniform and stable with no variants. The F7 seed was harvested in the fall, and full bed trials were conducted in the winter and spring of 2002 and 2003 where the uniformity and performance was verified. An additional seed increase was made in the summer of 2003, and the variety was again noted to be uniform and stable with out variants.

As evaluated in seed production and field trials the F6, the F7, and the F8 seed from the variety Avalanche has been uniform and stable with out variants. Seed from the variety



was first sold on December 31, 2003, at no point prior was any harvested material sold or offered for sale.



# Exhibit B Statement of Distinctness for the Variety Avalanche

Avalanche is a unique and distinct romaine lettuce variety with a lighter green color and upright and cupping growth habit. This variety is a taller PIC romaine type that forms a dense bleached yellow heart with excellent weight.

The most distinguishing characteristic of this variety is that it possesses resistance to corky root. Though many romaine varieties possess this *cor* gene, it is typically only found in the heavier savoyed Florida types of romaine. Avalanche is unique in that it has the smoother leaf characteristic of a PIC type and resistance to corky root.

Avalanche most closely resembles its paternal parent variety Bautista. Though Bautista is not a true PIC type, it has a smoother leaf texture than a typical Florida type. Avalanche is unique from Bautista and has proven to be significantly different from Bautista for the following traits:

- Avalanche has a shorter core length than Bautista. This data was statistically significant in 3 repeated trials with the following probabilities: 97.2%, 100%, 100%.
- Avalanche has a smaller frame diameter than Bautista. This data was statistically significant in 3 repeated trials with the following probabilities: 99.2%, 96.6%, 99.5%.
- Avalanche is also distinct and unique from its paternal parent variety Tall Guzmane.
  - Avalanche has a shorter core length than Tall Guzman. This data was statistically significant in 3 repeated trials with the following probabilities: 100%, 100%, 100%.
  - Avalanche has a longer heart length than Tall Guzmane. This data was statistically significant in 3 repeated trials with the following probabilities: 96.8%, 100%, 99.4%.
  - Avalanche has a longer head length than Tall Guzmane. This data was statistically significant in 3 repeated trials with the following probabilities: 100%, 100%, 94.2%.
  - Avalanche has a smaller frame diameter than Tall Guzmane. This data was statistically significant in 3 repeated trials with the following probabilities: 99.6%, 97%, 99.9%.

In addition, Avalanche is a smooth leafed PIC type romaine, where as Bautista has a heavier savoyed leaf, more commonly associated with Florida type romaines.



Avalanche has a color rating between 5gy5/6 and 5gy6/6 using the Munsell Color Chart for Plant Tissue.

Additional Differences that distinguish Avalanche from Bautista: These differences have been noted in field trials:

Characteristic	'Avalanche'	VS	'Bautista'
Mature leaf incision depth	Absent		Moderate
Indentation	Entire		Shallowly dentate
Margin undulation	Absent		Moderate
Blistering	Smooth		Moderate

#### U. S. Department of Agriculture Agricultural Marketing Service Science and Technology Program

# OBJECTIVE DESCRIPTION OF VARIETY LETTUCE Lactuca sativa

PROGRAM ADVANCED CENT	TTOC THE		FOR OF	FICIAL USE ONLY
PROGENY ADVANCED GENET  ADDRESS (Street and No. or R.F.D. No.  1536 B MOFFETT STREET			2005 (	00046
SALINAS CALIFORNIA 939	105			
	·		EXPERIMENTAL C	PESIGNATION
Place numbers in the boxes for the characteristic plants. Royal Horticultural Society	ters which best describe this y y or any recognized color state	variety. Measured data sho ndard may be used to deter	uld be the mean of an appropria	te number (at least 101 o
SALINAS VALLEY CA		Color System MUNSE/	Used:	
1. PLANT TYPE: (See list of suggest	ed check verieties page 4.]	12 1014-500		
01-Curting/Leaf 02-Butterhead 03-Bibb 04-Cos or Romain	05-Great Lakes G 06-Vanguard Gro 07-Imperial Grou	xip 10-Latin ip 11-OTHE	я- -	
2. SEED: COLOR 1-White (Silver Gray)	LIGHT DORK	AANCY	HEAT DORMANCY	
2-Black (Gray Brown) 3-Brown (Amber)	2 1-Light Requi	1 / i	1=Susceptible 2=Not Susceptible	,
3. COTYLEDON TO FOURTH LEAF STA		or photograph or photocop	y of the fourth lest from 20 day	y old seedling
3 SHAPE OF COTYLEDONS	o	optimal conditions, Intermediate 3-	Sperulare	,
A SHAPE OF FOURTH LEAD	F:		<u> </u>	$T_{\rightarrow}$
	$\mathbb{D}$			3
	2 3	4	5 6	
2 10 LENGTHANIOTH INDEX OF	F FOURTH LEAF: LW x 1	0		
			· · · · · · · · · · · · · · · · · · ·	
BASAL MARGIN:	1=Entire 2=Creanate/Gnawed 3=Finely Dentate	4-Moderately Dentate 5-Coarsely Dentate 6-Incised	7~Lobed 8~OTHER (specify)	
3 UNDULATION:	1-Flat	2=Slight	3-Medium	4=Marked
GREEN COLOR:	1-Yellaw Green 2-Light Green	3-Medium Green 4-Oark Green	5-8lue Green 6-Silver Green	7-Gray Green
ANTHOCYANIN:	,			
DISTRIBUTION:	1=Absent 2=Margin Only	3-Spotted 4-Thraughaut	5-OTHER (specify)	
CONCENTRATION:	1-Light	Z-Moderate	- 3=intense	
Z ROLLING:	1-Absent	2-Present	· .	
Z CUPPING:	1-Uncupped	2-Slight	3-Markedly	7
		<del></del>		

AFFLEXING:

	4. MATL	JRE LEAVES (abserve harves	t-mature outer leaves		
	NOTE	: Provide color photo of here	est-wathie jesnet mujch accrising shows co	for and margin above	-
	. ,	MARGIN:	, , , , , , , , , , , , , , , , , , , ,	ov and mergin cherecteristics.	
	L	INCISION DEPTH:	I-Absent/Shallow (Dark Green Bos n of the margin)	ton) 2-Moderate (Vanguard)	3-Deep (Great Lakes 6
		INDENTATION: (finest divisions of the n		3-Despiy Dentiste (Great Lakes 65: 5) 4-Crenete (Vanguard)	S-OTHER (specify)
		UNDULATION OF APICAL MARGIN:	THE 1-Absent/Slight (Dark Green Boston	2-Moderate (Vanguard)	3-Strong (Great Lakes i
	2	GREEN COLOR:	1~Very Light Green (Bibb) 2-Light Green (Minetto)	3-Medium Green (Great Lakes) 4-Dark Green (Vanguard)	S-Very Dark Green 6-OTHER
	· 1-c	ANTHOCYANIN (grows	at or below 10 C):		
	Ш	DISTRIBUTION:	1-Absent 2-Margin Only (Big Boston)	3-Spotted (Calif. Cream Butter) 4-Throughout (Prize Head)	5-OTHER (specify)
		CONCENTRATION:	1-Light (Iceberg)	2-Moderate (Prize Head)	3-Intense (Ruby)
	[3	SIZE:	1-Smail	2-Medium	3-Large
٠.	2	GLOSSINESS:	1-Oull (Variguard)	2=Moderate (Salinas)	3-Glossy (Great Lakes)
		BLISTERING:	1~Absent/Slight (Satinas)	2=Moderate (Vanguard)	J=Strong (Prize Head)
	2	LEAF THICKNESS:	1=Thin	2=Intermediate	3-Thick
		TRICHOMES:	1-Absent (smooth)	2-Present (spiny)	
5. P	LANT (a	it market stage. Choose a com SPREAD OF FRAME LEAV	partson variety appropriate for this type.):		
	40	cm This Variety	40 cm BAUTISTA	Ispecily comparison variet	not .
	40		t trimmed with single cap leaf):		27
1		cm This Variety	40 cm BAUTISTA	(specify comparison variet	rJ
	4	HEAD SHAPE:	1-Flattened 2-Slightly Flattened	3=Spherical 4=Elongate	5-Non-Heading 6-OTHER
	3	HEAD SIZE CLASS:	1-Small	2-Medium	3=Large
	24	HEAD COUNT PER CARTO	ON		
7	87	HEAD WEIGHT:  g This Variety	774. BAUTISTA	(specify comparison variety	,
·	2	HEAD FIRMNESS:	1=Loose 2=Moderate	3-Firm 4-Very Firm	
6. 80	TT (botte	om of market-trimmed head):			
	3	SHAPE:	1-Slightly Concave	Z=Flat	- I-Rounded
		міояів:	1-Flattened (Salinas)	2-Moderately Raised	-Prominently Raised (Grea
7. COR	E latem o	of market-trimmed head):			Lakes 6591
[3	3	mm. Diameter at base of head	<u> </u>	•	
2	f _	Patio of head diameter/core d	izmeter		
3	احساا	Fore height from base of head non This Variety	10 apex: BACTISTA	(epecify comparison variety)	
. BOL		ive First Water Date MAY 6	to desiminate. This can and or	he date seed first receives adequate moist fren does equal the planting date.	Uta
lo			ter Oate to seed stalk emergence (aummer c	anditlons);	
		his Variety	107 BAUTISTA	(specify comparison variety)	
	<u></u>	OCTING CLASS:	7=\$ia	3~Medium S- 4-Rapid	-Very Rapid
112	He He	eight of mature seed stalk:			

38	Spread of Bolter Plant for a	videss points:	BAUTISTH (upocity)	omparuan variety;
2	BOLTER LEAVES:	1=Straight	2-Curved	
2	MARGIN:	1×Entire	. Z=Oentate	
2	COLOR:	7-Light Green	2-Medium Green	3-Oark Green
:	BOLTER HABIT:			
	TERMINAL INFLORESCENCE:	T-Absent	2=Present	
	LATERAL SHOOTS:	1=Absent \	2-Present	
	BASAL SIDE SHOOTS:	1-Absent	2-Present	
9. MATURITY	(earliness of harvest-mature he	ed formation):		
NOTE: C	omplete this section for at less		•	
SEAS	ON Applic 1 and days	Check 1/ wat days	- CHECK V	ARIETY 3
Spring	71	7.3	BAUTISTA	
Summa	· le le	67	BAUTISTA-	
Fall	81	83	BAUTISTA	
Winter				
Give planting da	atels), and location(s);			
Spring	FEB 1- MARCH	15 SAL	NAS VALLEY CA	
			SALINIAS VAILEY CA	·
			SALMAS VALLEY CA	
Winter 1/ First wa	OCT 1- NOV 1		YUMA AZ	
ADAPTATION	l:	The state of the s	lety name on the appropriate line,	
PR	IMARY REGIONS OF ADAP	FION (tested and pro-	ren adapted): (O=Not tested 1=	Not Adapted 2=Adapted
2 Sou	sthwest (Calif., Ariz, desert)	Z West Coa	st O Northeast	
O Nor	rtheentral	O Southeast	OTHER	,
SEA 2	ASON: Spring large SALWAS V	alley CA;	Z ENDING SALINAS	Valley CA
2	Summer lares SALINAS	VALLEY CAT	Z Winter (area Yuma )	12
O GRE	EENHOUSE: 0-1	lot tested	I-Not Adapted	2-Adapted
3 5011	TYPE: 1-M	ineral	2~Organic	3-8oth
4 LS-470-1				Para 1

T. DISEASES A	TO STRESS REACTIONS	(U=Not tested; 1=Suscept	ible: 2=Intermediate: 3=Resi	stant; 4×Highly resistant; 5=Tolerant):	
	VIRUS			FUNGAL/BACTERIAL	
· .	Big Vein		Carky Roat F	Rat (Pythium Root Rat)	
•	Lettuce Mosaic			w (Races)	
	O Cucumber Mosaic		Powdery Mild	<del></del>	
	Sroad Bean Wilt		Scierozinia Ro	•	
	Turnip Mosaic		<u> </u>		
	3eet Western Yellow			Rot (Pseudomonas spp. & others)	
+ - *.		•	Botrytis (Gray		
	C Lett. Infectious Yell	ows	OTHER		
:	Other Virus			•	
	INSECTS			PHYSIOLOGICAL/STRESS	
	O Cabbage Loopers		Z Tipbum	Salt	
	O Root Aphids		Z Heat	Brown Hib (Rib Discoloration, Rib Bligi	
	Green Peach Aphid		Oprought		nti
	Other Insect		turned .	отнея	<b></b> ,
			3 cold		
		POST H	ARVEST		
	5 Pink Rib		O Internal Rib Ne	ecrosis (Blackheart, Gray Rib, Gray Stresk)	
	Russet Spotting	_	8rown Stain		
	Rusty Brown Discolor	ation	-	•	
12 RICCHEMICAL	OR ELECTROPHORETIC	NA DUEDO			
THE OFFICE OF THE PARTY OF THE	on exect normone; ic	MARKERS:	<b>~</b>		-
3. COMMENTS:					
	•			•	
		•	•		
	•	•		•	
		•			
·					
4.				``	
•					
		有	`'a <u>.</u>		
		# #	<sup>O</sup> N		
		SUGGESTED C	HECK VARIETIES		••••

## TYPE

- CUTTING/LEAF BUTTERHEAD BIBB COS, OR ROMAINE GREAT LAKES GROUP VANGUARD GROUP IMPERIAL GROUP EASTERN GROUP STEM

### CHECK VARIETY

SALAD BOWL
DARK GREEN BOSTON
BIBB
PARRIS ISLAND
GREAT LAKES 659-700
VANGUARD
VIVA
ITHACA ITHACA CELTUCE









### **Exhibit D**

Avalanche is a distinct and novel variety of romaine lettuce. The variety is a smoothed leafed PIC type romaine with resistance to corky root. Avalanche is unique from Bautista in that it has a smoother leaf texture and has resistance to corky root.

l'rial map #:	rial map #: RSV04034 Location:	ocation:	Salinas	Ranch/lot:	Turry 5	Date evald:	6/5/2004			Date Mature	Days to Maturity	Γ	Color	
Wet Date:	3/25/2004 Grower:	3rower:	Costa	Commercial Var:	ar:	Eval by:	Darkland		Avalanche	6/4/2004	17		5gy 6/6	
									Bautista	6/6/2004	73		5gy 5/6	
	3							֓֟֝ <i>֡</i>						
	Core Diameter (mm)	(mm)	Core Length (mm)	nm)	Heart Length (mm)	ш)	Head len	Head length (mm)	Head length: Core Length	į	Frame diam (cm)		Head wt. (g)	
Sample #	Avalanche	Bautista	Avalanche	Bautista	Avalanche	Bautista	Avalanche Bautista		Avalanche	Bautista	Avalanche	Bautista	1	Bautista
-	34	30	30	52	5 210	210	235	220	7.8	8.8	38	42	888	756
7	34	33	33	28	185	220	220	236	6.7	8.4	38	42	745	723
6	37	33	35	62	225	215	240	234	6'9	8.1	42	40	869	743
4	30	35	37	25	5 250	218	260	240	7.0	9.6	40	40	068	808 408
S	34	35	30	29	195	245	235	253	7.8	8.7	4	43	876	834
9	32	36	35	29	230	239	240	241	6'9	8,3	45	4	823	812
7	35.	30	30	30	245	240	250	245	8.3	8.2	38	45	812	804
œ	30	30	30	33	3 235	236	245	245	8.2	7.4	42	46	734	734
6	30	34	31	30	230	230	245	240	6.7	8.0	40	45	790	712
임	33	30	36	35	5 220		240	240	2.9	6'9	4	48	929	798
11	30	35	39	33	3 210	200	235	220	0'9	2.9	4	48	019	800
12	30	35	30	33	190	210	225	225	2.7	8'9	9	45	824	654
Average	32.4	33.0	33.0	29.9	218.8	224.0	239.2	236.6	L'2	0'8	6'07	44.0	783.8	764.5
Stan dev	2.43E+00	2.37E+00	3.28E+00	3.15E+00	2.11E+01	1.42E+01	1.06E+01	1.03E+01	7.19E-01	10-3L6-8	2.47E+00	2.70E+00	8.27E+01	5.29E+01
T test	5.58E-01		2.81E-02		4.82E-01		5.51E-01		5.16B-02		7.88E-03		5.02E-01	
% Probability	44.2		97.2		51.8		44.9		94.8		99.2		49.8	

al map #:	RSV04068	Location:	Salinas	Ranch/lot:	Alexander-7	Date evald:	9/5/2004	L		Date Materia	Davin to Ma	ı		
et Date:	7/3/2004	7/3/2004 Crower:	Hicrachi	Commenced Vone	Gasta Parament			1	Ī	Date Manue	Days to Maturity	ı	Color	
			111803111	Committee the Var	Green Forrest	Eval by:	2	<u> </u>	Avalanche	9/7/2004	99		5gy5/8	
								<u>m</u> j	Bautista	9/8/2004	19			
								I						
	Core Diameter (mm	(mm)	Core Length (mm)		Heart Length (mm)	H)	Head lenoth (mm)	H	Head lenoth Core I enoth	ľ	Denom o dina (ma)		77.1	
nple#	Avalanche	Bautista	Avalanche	Sautista	Avalanche	Bautista	Avalanche Bautista		Avalanche	I	Aralanche (all)	- desirates	<u> </u>	
	1 34	32	38	32	322		735	2/17	6.5	Pinner.	Simple	1	Avalanche	rsaucista
	6							747	0.5	0.7	4	23	308	735
	200			32	220		230 240	242	0.9	7.6	45	45	920	715
	39	35	40	34	235		230 242	235	6.1	9		45	830	7.07
	34	35	5 43	35	230			230	37			ę	200	100
	5 32	2 32	2 40	32	210			215	9	-		4	ž	184
	32			00	240			717	2.5			45	723	843
				OC.	<b>3</b>		8C7 C77	235	6.8	7.8	94	47	854	823
		33	37	30	230		230 245	248	9.9	8.3	04	45	834	717
	32	36	35	33	240		238 260	235	7.4		Ç	ç	198	211
	36	35	40	35	236			096	,		Or Co	3	O.Co	808
٦	2				200		ŀ	0+77	4.0	V,O	38	45	743	842
					74D		215 262	220	6.0	6.7	4	48	733	802
1		33	3	35	235		210 248	215	6.2	6.1	04	45	812	708
	12 33	3 34	4 38	35	245		221 254	220	6.7	63	3%	ΨV	058	030
rerage.	34.3	3 34.1	39.4	33.0	232.2	22	16	231.4	63		2, 2	74.0	OCO CO	920
n dev	2.10B+00	0 1.31E+00	2.47E+00	1 86E+00	0018+00	0 17	OUTLIEU	107.701	20 202		5.54	1	7.66/	8.06/
	7 30E 01				00.77.77	7.1.01	2.05truco	1,135,101	4.77E-01	0.43E-01	3.39E+00	1.80E+00	7.51E+01	5.45E+0]
	Course.		3.20E-U/		7.52E-02		4.24E-04	-	5.79E-03		3.45E-02		8.71E-01	
Probability	27.0	c	100.0		92.7		100.0		99.4		996		12.0	
										•	2	•		

RSV04077 Location: Chualar	- 1	Chualar		14/9	Date evald:	11/15/2004	ناسيا	П	Date Mature	ays to Maturity		Color	
8/27/2004 Grower: D'Arrigo Commercial Va G	Commer		이	cial Va Green Forrest	Eval by:	dg	74.	Avalanche	11/16/2004	81		5gy 5/6	
							ш,	Bautista	11/18/2004	83		Sgy5/6	
Core Diameter (mm)   Core Length (mm)   Heart I			Heart I	Heart Lenoth (mm)	(11)	Head lenoth (mm)	T.	Head length Core Length	Γ	Frame diam (cm)		Head ut (a)	
ta Avalanche Bautista	ıtısta	ıtısta	Avalar	oche	Bautista	Avalanche Bau	Ť	Avalanche	Γ	Avalanche B	ntista	Г	Bautista
1 33 33 34 30	34			230	225	245	230	7.2	7.7	30	35	96 <i>L</i>	805
2 33 30 34 30	34			225	5 220	240	235	7.1	7.8	35	35	800	732
3 35 30 37 34	37			220	210	240	218	6.5	6.4	35	38	845	869
4 36 34 36 30	36			215		233	242	6.5	8.1	34	8	702	834
5 33 32 35 25	35			230	220	242	235	6.9	9.6	39	4	746	843
6 30 32 35 28	35			210	210	232	225	9:9	8.0	35	8	802	812
7 35 35 20	35			195	5 215	228	250	6.5	12.5	04	42	619	732
8 33 35 33 30	33			200	) 200	220	243	6.7	8.1	33	38	823	684
9 32 30 36 30	36			215	5 195	230	234	6.4	7.8	35	40	998	715
10 35 30 33 32				220	) 200	235	225	7.1	7.0	35	40	106	.08
1 37 34 35 35	35			215	5 210	228	230	6.5	9.9	39	42	754	847
12 33 30	33			220	) 200	230	234	7.0	7.8	40	43	743	72.
33.3 32.1 34.7 29.5	34.7			216.3	3 211.7	233.6	233.4	6.7	8.1	35.8	39.4	788.1	769.3
2.15B+00 2.07E+00 1.30E+00 3.94E+00	1,30E+00 3.9	3.		1.07E+01	1.19压+01	7.14E+00 8	8.78E+00	2.90E-01	1.59E+00	3.07E+00	2.54E+00	6.60E+01	6.06E+01
1.60E-01 2.83E-04	2.83E-04	-04		3.32E-01		9.60E-01		8.19E-03		5.04E-03		4.76E-01	
84,0 100.0	100.0	0.0		8.99	~	4.0		99.2		99.5		52.4	

Trial map #:	RSV04034	Location:	Salinas	Ranch/lot:	Turry 5	Date evald:	6/5/2004			Date Mature	Days to Maturity		Color	
Wet Date:	3/25/2004	3/25/2004 Grower:	Costa	Commercial Var:		Eval by:	Darkland		Avalanche	6/4/2004	17	I	5gy 6/6	
								٠	Tall Guzmain	6/8/2004	75	8	5gy 5/6	
	Core Diameter (mm)		Core Length (mm)	n)	Heart Length (mm	(u	Head le	Head length (mm)	Head length: Core Length	Γ	Frame diam (cm)		Head wt (o)	
Sample #	Avalanche	Tall Guzmain	Avalanche	Tall Guzmain	Avalanche	Tall Guzmain	Avalanche	Avalanche Tall Guzmai Avalanche		natu	Avalanche Ta	1 Guzmain	$\sum_{i}$	Tall Guzmain
	1 34	36	30	7	210	200	235	222	7.8	9.3	38	42	888	876
	34	36	33	3(	185	\$61	220	215	6.7	10.8	38	42	745	890
	37	35	35	2.	225	190	240	200	6'9	9.1	42	64	869	798
	4 30	34	37	2	5 250	190	260	205	7.0	8.2	40	43	068	865
	34	34	30	72	195	195	235	215	7.8	0.6	40	43	876	743
	6 32	36	35	3(	230	210	240	210	6.9	7.0	45	43	823	898
	7 35	5 30	30	28	245	212	250	220	8.3	7.9	38	48	812	902
	8 30	36	30	3(	235	220	245	225	8.2	7.5	42	45	734	821
	9 30	38	31	77	230	195	245	230	6.7	9.6	40	45	790	743
1	10 33	38	36	77	220	200	240	220	6.7	9.2	4	4	959	702
1	1 30	35	39	20	210	210	235	210	0'9	10.5	4	45	670	700
1	12 30	34	06	2;	190	220	225	230	7.5	9.2	8	54	824	732
Average	32.4	1 35.2	33.0	24.	218.8	203.1	239.2	216.8	7.3	6.8	40.9	44.2	783.8	803.3
Stan dev	2.43E+00	2.12E+00	3.28E+00	3.31E+00	2.11E+01	1.09臣+01	1.06E+01	9.46E+00	7.19E-01	1.12E+00	2.47E+00	2.55E+00	8,27E+01	7.64E+01
T test	7.38E-03		3.08E-06		3.24E-02		1.83E-05		3.77E-04		4.41E-03		5.55E-01	
% Probability	99.3		100.0		8.96		100.0		100.0		966		44.5	

Trial map #:	Trial map #: RSV04068 Location:		Salinas	Ranch/lot:	Alexander-7	Date evald:	9/5/2004	<b></b>		Date Mature	Dove to Motumita		100	
Wet Date:	7/3/2004	7/3/2004 Grower:	Hieashi	Commercial Va	ercial Va Green Forrest	Eval hv:		<u></u>	Amilancha	8.2	CC		200	
		l			10000			*1	Availatici	7/ (/ (20/24	8	. ,	8/C/8C	
								. '	Fall Guzmain	9/9/2004	89	41	5gy5/6	
								•						
	Core Diameter (mm)	. "	Core Length (mm)		Heart Length (mm)	(u	Head length (mm)	(mm)	Head length: Core Length	Γ	Frame diam (cm		Head un (n)	
Sample #	Avalanche	Tall Guzmain Avalanche	Avalanche	Tall Guzmain	Avaianche	Tall Guzmain	Avalanche	Guzmail	Tall Guzmail Avalanche	į	Avalanche	alf Guzmain	٦	Toll Gramain
	1 34	36	38	<u>x</u>	225	200	238	225	6.3	99	45	40	768	777
	36	36	40	32	220	180		220	0.9	69		CA	300	508
	3 39	36	40	30	235	215	242	225	6.1	7.5	48	45	832	743
•	34	35	43	74	230	220	248	233	5.8	7.6	45	45	643	786
	5 32	34	40	25	210		235	215	5.9	8.6		47	723	678
**	32	33	38	28	240	200	258	218	6.8	7.8		48	854	732
	7 34	33	37	30	230	195	245	210	9'9	7.0	8	48	834	834
	32	35	35	5 25	240	200	360	97	7.4	9.6		45	850	870
•	36	34	40	29	236	215	255	235	6.4	8.1	38	450	743	723
Ä	34	39	44	30	240	200	262	220	6.0	7.3	8	46	733	751
1	36	33		33	235	235	248	249	6.2	7.5	8	43	812	808
1.	12 33	35	38	32	245	225	254	236	6.7	7.4	38	45	830	897
Average	34.3	34.9	39.4	1 29.3	232.2	207.9	248.8	227.2	6.3	7.8	42.3	45.2	795.2	791.6
Stan dev	2.10E+00	1.73E+00	2.47E+00	3.28E+00	9.91E+00	1.37压+01	9.03E+00 1.	15E+01	4.77E-01	1.00E+00	3.39E+00	2.52E+00	7 51R+01	7 198+01
T test	4.66E-01		2.11E-08		5.78E-05		3.99E-05	T	1.12E-04		2.98E-02		9.06E-01	
% Probability	53.4		100.0		100.0		1000	T	1000		07.0	l	70	

Trial map #:	RSV04077	Location: Chualar	Chualar	Ranch/lof:	14/0	Date evold	Date eveld 11/15/2004	_						
W. A. D. A.	LOCOLDO G					rate crain	17/17/2004			Date Mature	ays to Maturity		Color	
wer Date:	8/21/2004	8/2//2004 Grower:	D'Arrigo	Commercial Va	ercial Va Green Forrest	Eval by:	dg	7	Avalanche	11/16/2004	81	V.	5gv 5/6	
									all Guzmain	11/19/2004	84		5gy5/6	
	Core Diameter (mm		Core I enoth (mm)	(1111	Honer I anoth		1,7	<b>'</b>						
			THE TOTAL	11111	rical t Lengton (THII)	0,7	Head length (mm)	_	Head length: Core Length		Frame diam (cm)		Head wt. (g)	
Sample #	Avalanche	Tall Guzmain	Guzmain Avalanche	Tall Guzmain	Avalanche	Tall Guzma Avalanche		Tall Guzmai Avalanche	valanche	Tall Guzmain	Avalanche	li Guzmain		Tall Guzmain
	1 33	34	34	28	230	210	245	22	7.2	0.8	30	φ	ğ	V-50
	2 33	35	34	28	225	210	240	225	7.1	8.0		38	200	t   60
	3 35	35	37	30	220	215	240	225	6.5	7.5	35	38	845	678
	4 36	34	36	26	215	200	233	220	6.5	8.5	75	40		780
	5 33	36	35	27	230	210	242	215	6.9	8.0	36	14	746	608
	30	34	35	25	210	195	232	225	9.9	9.0	35	30	802	765
	7 30	33	35	25	195	200	228	210	6.5	8.4	4	38	029	200
	8 33	34	33	82	200	205	220	215	6.7	7.7	33	AD.	893	802
	9 32	35	36	52	215	215	230	222	6.4	8.7	35	C4	998	643
	35	33	33	25	220	200	235	205	7.1	8.2	35	4	5	657
	-		35	29	215	195	228	502	6.5	7.1	39	38	754	795
	33	33	33	30	220	205	230	215	7.0	7.2	8	64	743	745
Average	33.3	34 1	34.7	27.3	216.3	205.0	233.6	200.6	6.7	7.4	35.8	39.5	788.1	739.0
Stan dev	2.15E+00	9.96E-01	1.30E+00	1.86E+00	1.07E+01	7.07E+00	7.14E+00	5.67E+01	2.90E-01	2.17E+00	3.07E+00	1.31E+00	6.60E+01	7.68E+01
T test	2.84E-01		1.26E-10		5.99E-03		5.81E-02		3.045-01		9.73E-04		1.07E-01	
% Probability	71.6		0.001		99.4		¥.5		9.69		0 00		80.3	Ī



## **Trialing Protocol for Intellectual Property Protection.**

## I. Set Up

- 1. Parental lines and competing varieties are identified.
- 2. Primary slots are identified.
- 3. Necessary accession lines are located and purchased/received from seed dealers or growers.
- 4. All varieties are assigned a number to maintain integrity, and anonymity.
- 5. Trials are set up in the Progeny warehouse with all necessary varieties. Variety arrangement for trial is diagramed.

## II. Planting

- 1. Commercial plantings are located by contacting commercial growers during the planting slot recommended for the variety.
- 2. Field is located during commercial planting, and the necessary rows and area is marked off by a Progeny employee with proper training.
- 3. Varieties are planted according to diagram, in 100 ft. ranges.
- 4. All varieties are planted in same manner, to mimic the planting of the commercial variety as closely as possible.
- 5. A trial map is drawn diagramming the trial, the trial location in the field, and directions to the field.

### III. Maintenance

- 1. All varieties are treated identically. The grower handles all watering, fertilization, and pest control, as if it was no different from the commercial field it is grown with.
- 2. Thinning of the trial is done by a crew contracted by the commercial grower.



#### IV. Evaluation

- 1. Evaluations are done as near to the time of the commercial harvest as possible by knowledgeable Progeny employees.
- 2. The evaluation is conducted "blindly". The evaluator(s) do not have the key to the trial at the time of evaluation.
- 3. 24 heads of each variety are evaluated.
  - a. The frame diameter of 24 random plants are measured to the nearest cm.
  - b. 24 mature heads of each variety are cut to the cap leaf.
  - c. The heads are carried to an adequate work station
  - d. The following measurements are then conducted and recorded:
    - 1. Each head is weighed to the nearest gram.
    - 2. The core diameter of each head is measured to the nearest mm.
    - 3. The heads are then sliced in to halves, discarding 1 half.
    - 4. The core lengths (from the cut stem to the core tip) are measured to the nearest mm.
    - 5. The head length (from the cut stem to the cap leaf) is measured to the nearest mm.
    - 6. The head diameter (at its widest point) is measured to the nearest mm.
    - The ideal maturity or harvest date is then estimated based on the solidity of the head, the core length and any other physiological characteristics present.
    - 8. The leaf color is documented using the Munsell Color Charts for Plant Tissue.
  - e. From these measurements, we then use an Excell program to calculate the averages, the standard deviations and the T-Tests for the compared varieties.

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE	The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Privacy Act of	
EXHIBIT E	1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.  Application is required in order to determine if a plant variety protection cartificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).	
STATEMENT OF THE BASIS OF OWNERSHIP		
1. NAME OF APPLICANT(S)	TEMPORARY DESIGNATION     OR EXPERIMENTAL NUMBER	3. VARIETY NAME
PROGENY ADVANCED GENETICS, INC.	PX 334	AVALANCHE
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP, and Country)	5. TELEPHONE (include area code)	6. FAX (include area code)
1536 B MOFFETT ST SALINAS CA 93905	831-751-6030	831-751-6032
	7. PVPO NUMBER 00 5 0 00 4 6	
<ol><li>Does the applicant own all rights to the variety? Mark an "X" in appropri</li></ol>	iate block. If no, please explain,	- VEC - NO
	;	YES NO
9. Is the applicant (individual or company) a U.S. national or U.S. based co		
If no, give name of country	mpany?	YES NO
10. Is the applicant the original owner? XX YES NO If no, please answer one of the following:		
a. If original rights to variety were owned by individual(s), is (are) the original owner(s) a U.S. national(s)?		
YES NO If no, give name of country		
b. If original rights to variety were owned by a company(les), is(are) the original owner(s) a U.S. based company?		
YES N	If no, give name of country	•
11. Additional explanation on ownership (if needed, use reverse for extra space):		
	•	
PLEASE NOTE:		
Plant variety protection can be afforded only to owners (not licensees) who meet one of the following criteria:		
If the rights to the variety are owned by the original breeder, that person must be a U.S. national, national of a UPOV member country, or national of a country which affords similar protection to nationals of the U.S. for the same genus and species.		
<ol> <li>If the rights to the variety are owned by the company which employed the original breeder(s), the company must be U.S. based, owned by nationals of a UPOV member country, or owned by nationals of a country which affords similar protection to nationals of the U.S. for the same genus and species.</li> </ol>		
3. If the applicant is an owner who is not the original owner, both the original owner and the applicant must meet one of the above criteria.		
The original breeder/owner may be the individual or company who directed final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definition.		

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to compete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in its programs on the basis of race, color, national origin, sex, religion, age, disability, political beliefs, and mantal or familial status, (Not at promitted bases apply to all programs). Persons with disabilities who require alternative means for communication of program information (braille, large print, audiotable, etc.) should contact

To file a comptaint, write the Secretary of Agriculture, U.S. Department of Agriculture, Washington, O.C. 20250, or call 1-300-245-6340 (voice) or (202) 720-1127 (TDD). USDA is an equal

STD-470-E (07-97) (Destroy previous editions).